

# Minnesota Eligibility Technology System

## Fiscal Report for QE 09/30/21

### Introduction

1. The METS fiscal report is a product of the METS Executive Steering Committee Finance Work Group. This group includes financial management from MNIT, DHS and MNsure.
2. The report is produced quarterly, in accordance with M.S. 62V.055, Subdivision 3, and is available approximately 45 days following quarter-end.
3. The report includes two tables:

Table 1: Overall View of METS Budget. This table provides a four year view of the METS budget, including: actual FY 2020, estimated actual FY 2021, budget for FY 2022 and preliminary budget FY 2023. The FY 2023 preliminary budget for development reflects the last quarter of the current federal award. The FY 2023 preliminary operations budgets are assumed to continue at the current level, but will be re-evaluated annually.

Table 2: Budget vs Est. YTD (expenditures & est. remaining encumbrances) Table 2A (for FY 2021) and Table 2B (for FY 2022) show the fiscal year budgets, quarterly actual expenditures, and estimated encumbrances. Note that these tables include an Expenditures After FY End column to report on the fiscal year expenditures that will be recognized after June 30th due to the standard procedural lag between invoicing and payments.

**Note: Expenditures often lag, i.e. do not occur at a steady rate throughout the year. Examples may include:**

- a. State Personnel, due to payroll processing and interagency billing.
- b. Staff Augmentation and Service Contracts, due to billing lag, timing of various projects based on development roadmap, and associated deadlines throughout the year.
- c. Hardware/Software, due to processing time and the execution of payments throughout the year (not shown as accruals).
- b. MNIT Central Services, due to processing and interagency billing.

4. The tables are based on the following standard reporting conventions:

Development v. Operations. Within both the Expenditure and Financing sections, development is distinguished from operations. Development includes federally defined and applicable work, and MNsure development contributions. All other expenses are considered operations.

Expenditure Categories. Within the Expenditure section, the costs are reported in standard categories.

State Personnel	Developers, architects, project managers, business analysts, quality assurance, release management, security, and other MNIT staff, along with necessary business subject matter experts. Includes total compensation (salaries and fringe).
Staff Augmentation	Contracted individuals or companies to increase capacity.
Service Contracts	Major vendor agreements that provide expertise and enhanced functionality to the system.
Hardware/Software	Initial purchases and ongoing support costs for licensing/software and hardware.
MNIT Central Services	System infrastructure components including, but not limited to, server capacity, data storage, networking, routing, and bandwidth, provided as a service from MNIT. Also includes staff equipment.
General Administration	Training, supplies, travel, occupancy, and other miscellaneous administrative costs.

Financing Categories. Within the Financing section, the revenues (by funding source) associated with the fiscal years' expenditures is estimated based on the standing federal Public Assistance Cost Allocation Plan (PACAP) methodology. Note that actual revenues lag behind the expenditures by approximately 45 days due to the standard timing of the federal PACAP process.

# Minnesota Eligibility Technology System

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TABLE 1: Overall Budget View	FY 2020 Actual Spend	FY 2021 Est. Actual Spend	FY 2022 Budget	FY 2023 Preliminary
<b>Expenditures</b>				
<b>Development</b>	<b>33,433,872</b>	<b>30,765,831</b>	<b>38,669,329</b>	<b>9,876,819</b>
State Personnel	11,146,316	12,096,161	13,335,058	3,249,999
Staff Augmentation	6,927,147	6,172,711	5,454,488	1,281,500
Service Contracts	11,761,760	8,850,250	11,092,876	2,729,750
Hardware/Software	2,449,852	3,110,538	7,152,894	2,146,320
MNIT Central Services	951,011	497,696	861,513	211,750
General Administration	197,787	38,474	772,500	257,500
<b>Operations</b>	<b>29,403,061</b>	<b>30,155,382</b>	<b>37,594,259</b>	<b>32,527,765</b>
State Personnel	10,744,913	11,041,516	12,566,938	12,502,880
Staff Augmentation	2,238,888	983,302	1,968,049	1,006,677
Service Contracts	4,647,946	5,773,003	9,320,472	5,279,408
Hardware/Software	4,133,011	3,778,175	5,201,500	5,201,500
MNIT Central Services	7,027,383	7,913,919	7,812,300	7,812,300
General Administration	610,919	665,467	725,000	725,000
<b>Total Expenditures</b>	<b>62,836,933</b>	<b>60,921,214</b>	<b>76,263,588</b>	<b>42,404,584</b>
State Personnel	21,891,229	23,137,677	25,901,996	15,752,879
Staff Augmentation	9,166,035	7,156,013	7,422,537	2,288,177
Service Contracts	16,409,706	14,623,253	20,413,348	8,009,158
Hardware/Software	6,582,863	6,888,713	12,354,394	7,347,820
MNIT Central Services	7,978,394	8,411,616	8,673,813	8,024,050
General Administration	808,706	703,940	1,497,500	982,500
<b>Financing</b>				
<b>Development - TOTAL</b>	<b>33,433,873</b>	<b>30,765,831</b>	<b>38,669,329</b>	<b>9,876,819</b>
Federal Share	23,298,204	23,537,874	30,311,504	8,603,772
CCIIO/ARPA	0	1,525,555	637,728	0
Medicaid	23,298,204	22,012,319	29,673,776	8,603,772
Non-Federal Share	10,135,669	7,227,957	8,357,825	1,273,047
MNSure	2,870,696	94,348	0	0
DHS	7,264,973	7,133,609	8,357,825	1,273,047
<b>Operations - TOTAL</b>	<b>29,403,061</b>	<b>30,155,382</b>	<b>37,594,259</b>	<b>32,527,765</b>
Federal Share	16,607,893	16,603,530	22,000,333	18,299,094
CCIIO/ARPA	0	0	0	0
Medicaid	16,607,893	16,603,530	22,000,333	18,299,094
Non-Federal Share	12,795,168	13,551,852	15,593,926	14,228,671
MNSure	4,889,529	5,828,428	5,606,894	5,583,552
DHS	7,905,639	7,723,424	9,987,032	8,645,119
<b>TOTAL FINANCING</b>	<b>62,836,934</b>	<b>60,921,213</b>	<b>76,263,588</b>	<b>42,404,584</b>
Federal Share	39,906,097	40,141,404	52,311,837	26,902,866
CCIIO/ARPA	0	1,525,555	637,728	0
Medicaid	39,906,097	38,615,849	51,674,109	26,902,866
Non-Federal Share	22,930,837	20,779,809	23,951,751	15,501,718
MNSure	7,760,225	5,922,776	5,606,894	5,583,552
DHS	15,170,612	14,857,033	18,344,858	9,918,166

**Notes:**

- Development includes federally defined and applicable work, and MNSure development contributions. All other expenses considered operations.
- Fiscal year Financing numbers are based on the federally-approved cost allocation methodology that is generally applicable to each fiscal year (i.e. the report reflects a reasonable matching of expenditures and revenue).
- CCIIO/ARPA category within the Financing section represents federal grants used to fund METS development. The CCIIO grant was used to set up the METS system and concluded on 12/31/17. Beginning March 15, 2021, The American Rescue Plan Act (ARPA) is being used for system enhancements related to COVID-19 response.

# Minnesota Eligibility Technology System

Fiscal Report for QE 09/30/2021

TABLE 2A: FY 2021 Budget vs YTD	FY 2021 Budget	QE 9/30/20 Expenditures	QE 12/31/20 Expenditures	QE 3/31/21 Expenditures	QE 6/30/21 Expenditures	Expenditures After FY End	YTD Expenditures	%	Anticipated Encumbrances	Est. FY Exp & Enc	%	Estimated Balance	%
<b>Expenditures</b>													
<b>Development</b>	<b>44,764,320</b>	<b>2,063,211</b>	<b>6,896,643</b>	<b>8,942,187</b>	<b>7,613,244</b>	<b>3,585,846</b>	<b>29,101,131</b>	65%	<b>1,664,700</b>	<b>30,765,831</b>	69%	<b>13,998,489</b>	31%
State Personnel	19,781,534	195,838	2,769,481	3,978,086	4,068,035	1,084,721	12,096,161	61%	0	12,096,161	61%	7,685,372	39%
Staff Augmentation	5,117,816	333,065	2,035,697	1,400,353	1,786,793	616,803	6,172,711	121%	0	6,172,711	121%	(1,054,895)	-21%
Service Contracts	12,019,570	1,412,587	1,392,944	2,647,822	1,665,861	1,731,036	8,850,250	74%	0	8,850,250	74%	3,169,320	26%
Hardware/Software	5,370,901	117,433	673,616	571,196	45,400	38,328	1,445,973	27%	1,664,565	3,110,538	58%	2,260,363	42%
MNIT Central Services	1,362,228	0	19,413	333,505	35,252	109,526	497,696	37%	0	497,696	37%	864,532	63%
General Administration	1,112,270	4,288	5,491	11,224	11,902	5,433	38,338	3%	135	38,474	3%	1,073,797	97%
<b>Operations</b>	<b>33,116,657</b>	<b>3,250,873</b>	<b>8,111,306</b>	<b>8,919,551</b>	<b>6,187,879</b>	<b>2,885,647</b>	<b>29,355,255</b>	89%	<b>800,120</b>	<b>30,155,375</b>	91%	<b>2,961,282</b>	9%
State Personnel	13,067,809	0	2,931,018	3,294,143	3,735,700	1,080,655	11,041,516	84%	0	11,041,516	84%	2,026,293	16%
Staff Augmentation	999,762	104,421	383,221	172,972	234,645	88,043	983,302	98%	0	983,302	98%	16,460	2%
Service Contracts	5,564,724	1,331,276	1,246,593	1,704,023	1,164,198	323,757	5,769,847	104%	3,149	5,772,996	104%	(208,272)	-4%
Hardware/Software	4,589,882	1,666,789	723,203	368,097	91,407	136,459	2,985,955	65%	792,220	3,778,175	82%	811,707	18%
MNIT Central Services	8,134,470	0	2,674,725	3,211,615	779,268	1,248,311	7,913,919	97%	0	7,913,919	97%	220,551	3%
General Administration	760,010	148,386	152,546	168,702	182,661	8,421	660,716	87%	4,751	665,467	88%	94,543	12%
<b>Total Expenditures</b>	<b>77,880,977</b>	<b>5,314,083</b>	<b>15,007,949</b>	<b>17,861,738</b>	<b>13,801,123</b>	<b>6,471,493</b>	<b>58,456,386</b>	75%	<b>2,464,821</b>	<b>60,921,207</b>	78%	<b>16,959,770</b>	22%
State Personnel	32,849,343	195,838	5,700,499	7,272,229	7,803,735	2,165,375	23,137,677	70%	0	23,137,677	70%	9,711,665	30%
Staff Augmentation	6,117,578	437,486	2,418,918	1,573,325	2,021,438	704,846	7,156,013	117%	0	7,156,013	117%	(1,038,435)	-17%
Service Contracts	17,584,294	2,743,863	2,639,537	4,351,845	2,830,059	2,054,793	14,620,097	83%	3,149	14,623,246	83%	2,961,048	17%
Hardware/Software	9,960,783	1,784,222	1,396,819	939,292	136,808	174,787	4,431,928	44%	2,456,785	6,888,713	69%	3,072,070	31%
MNIT Central Services	9,496,698	0	2,694,138	3,545,121	814,520	1,357,837	8,411,616	89%	0	8,411,616	89%	1,085,083	11%
General Administration	1,872,280	152,674	158,037	179,926	194,563	13,855	699,054	37%	4,887	703,940	38%	1,168,340	62%
<b>Financing</b>													
<b>Development - TOTAL</b>	<b>44,764,320</b>	<b>2,063,211</b>	<b>6,896,643</b>	<b>8,942,187</b>	<b>7,613,244</b>	<b>3,585,846</b>	<b>29,101,131</b>		<b>1,664,700</b>	<b>30,765,831</b>		<b>13,998,489</b>	
Federal Share	34,853,874	1,591,461	5,323,382	6,629,913	5,788,575	2,971,070	22,304,401		1,233,473	23,537,874		11,316,000	
CCIIO/ARPA	2,125,323	0	0	0	353,239	1,172,316	1,525,555		0	1,525,555		599,768	
Medicaid	32,728,551	1,591,461	5,323,382	6,629,913	5,435,336	1,798,754	20,778,846		1,233,473	22,012,319		10,716,232	
Non-Federal Share	9,910,446	471,750	1,573,261	2,312,274	1,824,669	614,776	6,796,729		431,227	7,227,957		2,682,489	
MNsurre	97,348	0	0	94,348	0	0	94,348		0	94,348		3,000	
DHS	9,813,098	471,750	1,573,261	2,217,926	1,824,669	614,776	6,702,381		431,227	7,133,609		2,679,489	
<b>Operations - TOTAL</b>	<b>33,116,657</b>	<b>3,250,872</b>	<b>8,111,306</b>	<b>8,919,551</b>	<b>6,187,879</b>	<b>2,885,647</b>	<b>29,355,255</b>		<b>800,120</b>	<b>30,155,375</b>		<b>2,961,282</b>	
Federal Share	18,277,316	1,645,498	4,500,817	4,939,617	3,282,730	1,740,787	16,109,449		494,081	16,603,530		1,673,786	
CCIIO/ARPA	0	0	0	0	0	0	0		0	0		0	
Medicaid	18,277,316	1,645,498	4,500,817	4,939,617	3,282,730	1,740,787	16,109,449		494,081	16,603,530		1,673,786	
Non-Federal Share	14,839,341	1,605,374	3,610,489	3,979,934	2,905,149	1,144,860	13,245,806		306,039	13,551,845		1,287,496	
MNsurre	6,117,568	847,148	1,529,468	1,691,806	1,341,108	340,631	5,750,161		78,260	5,828,421		289,147	
DHS	8,721,773	758,226	2,081,021	2,288,128	1,564,041	804,229	7,495,645		227,779	7,723,424		998,349	
<b>TOTAL FINANCING</b>	<b>77,880,977</b>	<b>5,314,083</b>	<b>15,007,949</b>	<b>17,861,737</b>	<b>13,801,123</b>	<b>6,471,493</b>	<b>58,456,386</b>		<b>2,464,821</b>	<b>60,921,206</b>		<b>16,959,770</b>	
Federal Share	53,131,190	3,236,959	9,824,199	11,569,530	9,071,305	4,711,857	38,413,850		1,727,554	40,141,404		12,989,786	
CCIIO/ARPA	2,125,323	0	0	0	353,239	1,172,316	1,525,555		0	1,525,555		599,768	
Medicaid	51,005,867	3,236,959	9,824,199	11,569,530	8,718,066	3,539,541	36,888,295		1,727,554	38,615,849		12,390,018	
Non-Federal Share	24,749,787	2,077,124	5,183,750	6,292,207	4,729,818	1,759,636	20,042,536		737,267	20,779,802		3,969,985	
MNsurre	6,214,916	847,148	1,529,468	1,786,154	1,341,108	340,631	5,844,509		78,260	5,922,769		292,147	
DHS	18,534,871	1,229,976	3,654,282	4,506,053	3,388,710	1,419,005	14,198,027		659,007	14,857,033		3,677,838	
<b>Notes:</b> - <i>Development</i> includes federally defined and applicable work, and MNsure development contributions. All other expenses considered <i>operations</i> . - <i>Expended</i> includes actual fiscal year expenditures. Due to normal processing and invoicing time, some expenditure reporting may lag. - Fiscal year <i>Financing</i> numbers are based on the federally-approved cost allocation methodology that is generally applicable to each fiscal year (i.e. the report reflects a reasonable matching of expenditures and revenue). - <i>Expenditures After Fiscal Year (FY) End</i> : Due to the standard lag between invoicing and payments, fiscal year expenditures may be recognized after June 30th.													

Minnesota Eligibility Technology System

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TABLE 2B: FY 2022 Budget vs YTD	FY 2022 Budget	QE 9/30/21 Expenditures	QE 12/31/21 Expenditures	QE 3/31/22 Expenditures	QE 6/30/22 Expenditures	Expenditures After FY End	YTD Expenditures	%	Estimated Encumbrances	Est. FY Exp & Enc	%	Estimated Balance	%
<b>Expenditures</b>													
<b>Development</b>	<b>38,669,329</b>	<b>4,755,422</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,755,422</b>	<b>12%</b>	<b>32,882,933</b>	<b>37,638,355</b>	<b>97%</b>	<b>1,030,974</b>	<b>3%</b>
State Personnel	13,335,058	251,767	0	0	0	0	251,767	2%	12,549,702	12,801,470	96%	533,589	4%
Staff Augmentation	5,454,488	348,833	0	0	0	0	348,833	6%	4,990,296	5,339,129	98%	115,360	2%
Service Contracts	11,092,876	1,201,535	0	0	0	0	1,201,535	11%	9,800,636	11,002,172	99%	90,704	1%
Hardware/Software	7,152,894	2,949,501	0	0	0	0	2,949,501	41%	4,023,060	6,972,561	97%	180,333	3%
MNIT Central Services	861,513	3,785	0	0	0	0	3,785	0%	819,239	823,024	96%	38,489	4%
General Administration	772,500	0	0	0	0	0	0	0%	700,000	700,000	91%	72,500	9%
<b>Operations</b>	<b>37,594,259</b>	<b>1,131,748</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,131,748</b>	<b>3%</b>	<b>35,360,728</b>	<b>36,492,476</b>	<b>97%</b>	<b>1,101,783</b>	<b>3%</b>
State Personnel	12,566,938	0	0	0	0	0	0	0%	11,877,000	11,877,000	95%	689,938	5%
Staff Augmentation	1,968,049	77,019	0	0	0	0	77,019	4%	1,820,810	1,897,829	96%	70,220	4%
Service Contracts	9,320,472	1,054,097	0	0	0	0	1,054,097	11%	8,213,836	9,267,932	99%	52,540	1%
Hardware/Software	5,201,500	633	0	0	0	0	633	0%	5,100,832	5,101,465	98%	100,035	2%
MNIT Central Services	7,812,300	0	0	0	0	0	0	0%	7,648,250	7,648,250	98%	164,050	2%
General Administration	725,000	0	0	0	0	0	0	0%	700,000	700,000	97%	25,000	3%
<b>Total Expenditures</b>	<b>76,263,588</b>	<b>5,887,170</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,887,170</b>	<b>8%</b>	<b>68,243,660</b>	<b>74,130,831</b>	<b>97%</b>	<b>2,132,757</b>	<b>3%</b>
State Personnel	25,901,996	251,767	0	0	0	0	251,767	1%	24,426,702	24,678,470	95%	1,223,527	5%
Staff Augmentation	7,422,537	425,852	0	0	0	0	425,852	6%	6,811,105	7,236,957	98%	185,580	3%
Service Contracts	20,413,348	2,255,632	0	0	0	0	2,255,632	11%	18,014,472	20,270,104	99%	143,244	1%
Hardware/Software	12,354,394	2,950,134	0	0	0	0	2,950,134	24%	9,123,892	12,074,026	98%	280,368	2%
MNIT Central Services	8,673,813	3,785	0	0	0	0	3,785	0%	8,467,489	8,471,274	98%	202,539	2%
General Administration	1,497,500	0	0	0	0	0	0	0%	1,400,000	1,400,000	93%	97,500	7%
<b>Financing - Development</b>													
	0	0	0	0	0	0	0		0	0		0	
<b>Development - TOTAL</b>	<b>38,669,329</b>	<b>4,755,422</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,755,422</b>		<b>32,882,933</b>	<b>37,638,355</b>		<b>1,030,974</b>	
Federal Share	30,311,504	3,608,609	0	0	0	0	3,608,609		25,895,486	29,504,095		807,409	
CCIO/ARPA	637,728	40,248	0	0	0	0	40,248		518,158	558,406		79,322	
Medicaid	29,673,776	3,568,361	0	0	0	0	3,568,361		25,377,328	28,945,689		728,087	
<b>Non-Federal Share</b>	<b>8,357,825</b>	<b>1,146,814</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,146,814</b>		<b>6,987,447</b>	<b>8,134,260</b>		<b>223,565</b>	
MNSure	0	0	0	0	0	0	0		0	0		0	
DHS	8,357,825	1,146,814	0	0	0	0	1,146,814		6,987,447	8,134,260		223,565	
	0	0	0	0	0	0	0		0	0		0	
<b>Operations - TOTAL</b>	<b>37,594,259</b>	<b>1,131,748</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,131,748</b>		<b>35,360,728</b>	<b>36,492,476</b>		<b>1,101,783</b>	
Federal Share	22,000,333	330,356	0	0	0	0	330,356		21,084,660	21,415,016		585,317	
CCIO/ARPA	0	0	0	0	0	0	0		0	0		0	
Medicaid	22,000,333	330,356	0	0	0	0	330,356		21,084,660	21,415,016		585,317	
<b>Non-Federal Share</b>	<b>15,593,926</b>	<b>801,392</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>801,392</b>		<b>14,276,068</b>	<b>15,077,460</b>		<b>516,466</b>	
MNSure	5,606,894	650,580	0	0	0	0	650,580		4,914,667	5,565,247		41,647	
DHS	9,987,032	150,813	0	0	0	0	150,813		9,361,400	9,512,213		474,819	
<b>TOTAL FINANCING</b>	<b>76,263,588</b>	<b>5,887,170</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,887,170</b>		<b>68,243,660</b>	<b>74,130,831</b>		<b>2,132,757</b>	
Federal Share	52,311,837	3,938,965	0	0	0	0	3,938,965		46,980,146	50,919,111		1,392,726	
CCIO/ARPA	637,728	40,248	0	0	0	0	40,248		518,158	558,406		79,322	
Medicaid	51,674,109	3,898,717	0	0	0	0	3,898,717		46,461,988	50,360,705		1,313,404	
<b>Non-Federal Share</b>	<b>23,951,751</b>	<b>1,948,206</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,948,206</b>		<b>21,263,514</b>	<b>23,211,720</b>		<b>740,031</b>	
MNSure	5,606,894	650,580	0	0	0	0	650,580		4,914,667	5,565,247		41,647	
DHS	18,344,858	1,297,626	0	0	0	0	1,297,626		16,348,847	17,646,473		698,384	

Notes:  
 - Development includes federally defined and applicable work, and MNSure development contributions. All other expenses considered operations.  
 - Expended includes actual fiscal year expenditures. Due to normal processing and invoicing time, some expenditure reporting may lag.  
 - Fiscal year Financing numbers are based on the federally-approved cost allocation methodology that is generally applicable to each fiscal year (i.e. the report reflects a reasonable matching of expenditures and revenue).  
 - Expenditures After Fiscal Year (FY) End : Due to the standard lag between invoicing and payments, fiscal year expenditures may be recognized after June 30th.